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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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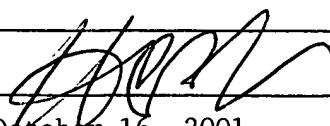
		Application Number	09/892,472
		Filing Date	June 28, 2001
		First Named Inventor	B. Malo
		Group Art Unit	2874
		Examiner Name	
Total Number of Pages in This Submission		Attorney Docket Number	702P06US-1

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Harold C. Baker	
Signature		
Date	October 16, 2001	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

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#3
TDS
K. Jones
10/22/01

File No.: 702P06US-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 09/892,472
Filed : June 28, 2001
Title : "LOCALIZED ANNEALING OF UV INDUCED INDEX
GRATING IN OPTICAL WAVEGUIDES"
Inventor : Bernard Malo
Group Art Unit : 2874

October 16, 2001

INFORMATION DISCLOSURE STATEMENT
Under 37 C.F.R. 1.97

The Honourable Commissioner
of Patents & Trademarks,
WASHINGTON, D.C. 20231,
U.S.A.

Sir:

Under the provisions of 37 C.F.R. 1.97, including particularly subsections (g) and (h) thereof, and 37 C.F.R. 1.98 including specifically subsection (d) thereof, Applicant calls to the attention of the Examiner the following documents, copies of which are enclosed:

C1 - Laser Trimming Method for Adjustment of Grating Offset in
Optical Fibre Grating Couplers - Yokota et al -
Dept. of Systems Engineering, Faculty of Engineering,
Ibaraki University

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This reference was brought to the patent agent's attention during the preparation of the application.

Applicant respectfully requests that these references be entered into the record of this application. The Examiner is requested to initial the appropriate area on the enclosed copy of PTO Form 1449, thereby indicating consideration by the Examiner of each of these references.

Applicant respectfully submits that the present claims are patentable over the references identified herein, and requests timely and favourable examination of this application.

Respectfully submitted



Harold C. Baker
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Substitute for form 1449A/PTO		Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	09/892,472	
		Filing Date	June 28, 2001	
		First Named Inventor	B. Malo	
		Group Art Unit	2874	
		Examiner Name		
Sheet	1	of	2	
			Attorney Docket Number	702P06US-1

U.S. PATENT DOCUMENTS

REF ID: A-105414

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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